

**TEST METHOD PROTOCOL  
for the NHK Neutral Red Uptake Cytotoxicity Test**

**A Test for Basal Cytotoxicity for an In Vitro Validation Study**

**June 14, 2002**

**Prepared by**

**The National Toxicology Program (NTP) Interagency Center for the Evaluation of  
Alternative Toxicological Methods (NICEATM)**

**Based on Standard Operating Procedure Recommendations from an  
International Workshop Organized by the Interagency Coordinating Committee  
on the Validation of Alternative Methods (ICCVAM)**

**National Institute of Environmental Health Sciences (NIEHS)  
National Institutes of Health (NIH)  
U.S. Public Health Service  
Department of Health and Human Services**

## TEST METHOD PROTOCOL

### The Normal Human Keratinocyte (NHK) Neutral Red Uptake Cytotoxicity Test A Test for Basal Cytotoxicity

#### I. PURPOSE

The purpose of this study is to evaluate the cytotoxicity of test chemicals using the Normal Human Keratinocyte (NHK) Neutral Red Uptake (NRU) cytotoxicity test. The data will be used to evaluate the intra- and inter-laboratory reproducibility of the assay and effectiveness of the cytotoxicity assay to predict the starting doses for rodent acute oral systemic toxicity assays. This test method protocol outlines the procedures for performing the cytotoxicity test and is in support of the *in vitro* validation study organized by NICEATM and the European Centre for the Validation of Alternative Methods (ECVAM) and sponsored by NIEHS, U.S. Environmental Protection Agency, and ECVAM. This test method protocol applies to all personnel involved with performing the cytotoxicity assay.

##### A. Determination of Positive Control Database

An historical database of IC<sub>50</sub> values for the positive control chemical (Sodium Lauryl [dodecyl] Sulfate {SLS}) must be established and maintained by performing 10 concentration-response assays on the NHK cells before performing the NRU assay on test chemicals. Once the mean IC<sub>50</sub> and the 95 % confidence interval (CI) of the IC<sub>50</sub> of SLS are established, the values will be used as an acceptance criterion for test sensitivity for the NHK NRU assay.

##### B. NHK Neutral Red Uptake Cytotoxicity Test

After acceptable positive control mean IC<sub>50</sub> and 95 % CI values have been established, the NHK NRU test will be performed to analyze the *in vitro* toxicity of test chemicals. This test will be used to determine IC<sub>20</sub>, IC<sub>50</sub>, and IC<sub>80</sub> values for a predetermined set of test chemicals of varying toxicities.

#### II. SPONSOR

- A. Name:** National Institute of Environmental Health Sciences (NIEHS); The National Toxicology Program (NTP) Interagency Center for the Evaluation of Alternative Toxicological Methods (NICEATM)
- B. Address:** P.O. Box 12233  
Research Triangle Park, NC 27709
- C. Representative:** *Named Representative*

### III. IDENTIFICATION OF TEST AND CONTROL SUBSTANCES

- A. Test Chemicals:**        *Blinded chemicals 1*
- B. Controls:**                Positive:                Sodium Lauryl Sulfate  
   Vehicle (Negative):    Assay medium  
   Solvent (as needed):   Assay medium with appropriate solvent  
   used to prepare the test chemicals (**Section VII.E**)

### IV. TESTING FACILITY AND KEY PERSONNEL

- Name:
- Address:
- Study Director:
- Laboratory Technician(s):
- Scientific Advisor:
- Quality Assurance Director:
- Safety Manager:
- Facility Management:

#### A. Test Schedule

- Proposed Experimental Initiation Date:
- Proposed Experimental Completion Date:
- Proposed Report Date:

### V. TEST SYSTEM

The NRU cytotoxicity assay procedure is a cell survival/viability chemosensitivity assay based on the ability of viable cells to incorporate and bind neutral red (NR), a supravital dye. NR is a weak cationic dye that readily penetrates cell membranes by non-ionic diffusion and accumulates intracellularly in lysosomes. Alterations of the cell surface or the sensitive lysosomal membrane lead to lysosomal fragility and other changes that gradually become irreversible. Such changes brought about by the action of xenobiotics result in a decreased uptake and binding of NR. It is thus possible to distinguish between viable, damaged, or dead cells, which is the basis of this assay.

Healthy mammalian cells, when maintained in culture, continuously divide and multiply over time. A toxic chemical, regardless of site or mechanism of action, will interfere with this process and result in a reduction of the growth rate as reflected by cell number. Cytotoxicity is expressed as a concentration dependent reduction of the uptake of the NR after chemical exposure thus providing a sensitive, integrated signal of both cell integrity and growth inhibition.

### VI. DEFINITIONS

- A.** *Hill function*: a four parameter logistic mathematical model relating the concentration of test chemical to the response being measured in a sigmoidal shape.

$$Y = \text{Bottom} + \frac{\text{Top} - \text{Bottom}}{1 + 10^{(\log \text{IC}_{50} - X) \text{HillSlope}}}$$

where Y= response, X is the logarithm of dose (or concentration), Bottom is the minimum response, Top is the maximum response, logIC50 is logarithm of X at the response midway between Top and Bottom, and HillSlope describes the steepness of the curve.

- B. Documentation:** all methods and procedures will be noted in a Study Workbook; logs will be maintained for general laboratory procedures and equipment (e.g., media preparation, test chemical preparation, incubator function); all optical density data obtained from the spectrophotometer plate reader will be saved in electronic and paper formats; all calculations of ICx values and other derived data will be in electronic and paper format; all data will be archived.

## VII. PROCEDURES

### A. Materials

[Note: Suggested brand names/vendors are listed in parentheses. Equivalents may be used unless otherwise noted.]

#### 1. Cell Lines

##### Normal Human Epidermal Keratinocytes (NHK)

Non-transformed cells; from cryopreserved primary or secondary cells (**Clonetics #CC-2507 or equivalent**). Cells will be Clonetics NHK cells.

*Clonetics/BioWhittaker* [BioWhittaker, 8830 Biggs Ford Road, Walkersville, MD 21793-0127

*BioWhittaker Europe* [BioWhittaker Europe, S.P.R.L. Parc Industriel de Petit Rechain, B-4800 Verviers, BELGIUM]

#### 2. Technical Equipment

- a) Incubator: 37°C ± 1°C, 90 % ± 5 % humidity, 5.0 % ± 1 % CO<sub>2</sub>/air
- b) Laminar flow clean bench (standard: "biological hazard")
- c) Water bath: 37°C ± 1°C
- d) Inverse phase contrast microscope
- e) Sterile glass tubes with caps (e.g., 5ml)
- f) Centrifuge (optionally: equipped with microtiter plate rotor)
- g) Laboratory balance
- h) 96-well plate spectrophotometer (i.e., plate reader) equipped with 540 nm ± 10 nm filter
- i) Shaker for microtiter plates
- j) Cell counter or hemocytometer
- k) Pipetting aid
- l) Pipettes, pipettors (multi-channel and single channel), dilution block
- m) Cryotubes
- n) Tissue culture flasks (75 - 80 cm<sup>2</sup>, 25 cm<sup>2</sup>)

- o) 96-well flat bottom tissue culture microtiter plates (e.g., Nunc # 167 008; Corning/COSTAR tissue culture-treated)
- p) pH paper (wide and narrow range)

[Note: Tissue culture flasks and microtiter plates should be prescreened to ensure that they adequately support the growth of NHK.]

### 3. Chemicals, Media, and Sera

- a) Keratinocyte Basal Medium without  $\text{Ca}^{++}$  (KBM®, Clonetics CC-3104) that is completed by adding the KBM® SingleQuots® (Clonetics CC-4131) to achieve the proper concentrations of epidermal growth factor, insulin, hydrocortisone, antimicrobial agents, bovine pituitary extract, and calcium (e.g., Clonetics Calcium SingleQuots®, CC-4202).
- b) HEPES Buffered Saline Solution (HEPES-BSS) (e.g., Clonetics # CC-5022)
- c) 0.025 % Trypsin/EDTA solution (e.g., Clonetics # CC-5012)
- d) Trypsin Neutralizing Solution (TNS) (e.g., Clonetics # CC-5002)
- e) Phosphate Buffered Saline (PBS)
- f) Dulbecco's Phosphate Buffered Saline (D-PBS) with glucose) formulation containing calcium and magnesium cations, and supplemented with 1000 mg/L glucose)
- g) Fetal bovine serum (FBS)
- h) Neutral Red (NR) Dye – tissue culture-grade; liquid form (e.g., SIGMA N 2889); powder form (e.g., SIGMA N 4638)
- i) Dimethyl sulfoxide (DMSO), U.S.P analytical grade (Store under nitrogen @ -20°C)
- j) Ethanol (ETOH), U.S.P. analytical grade (100 %, non-denatured for test chemical preparation; 95 % can be used for the desorb solution)
- k) Glacial acetic acid, analytical grade
- l) Hanks' Balanced Salt Solution without  $\text{Ca}^{2+}$  or  $\text{Mg}^{2+}$  (CMF-HBSS) (e.g., Invitrogen # 14170)
- m) Distilled  $\text{H}_2\text{O}$  or any purified water suitable for cell culture (sterile)
- n) Sterile paper towels (for blotting 96-well plates)

### B. Preparations of Media and Solutions

[Note: All solutions (except NR stock solution, NR medium and NR desorb), glassware, pipettes, etc., shall be sterile and all procedures should be carried out under aseptic conditions and in the sterile environment of a laminar flow cabinet (biological hazard standard).]

#### 1. Media

- a) Routine Culture Medium/Treatment Medium

KBM® (Clonetics CC-3104) supplemented with KBM® SingleQuots® (Clonetics CC-4131) and Clonetics Calcium SingleQuots® (CC-4202) to make 500ml of medium. Final concentration of supplements in medium are:

0.0001 ng/ml	Human recombinant epidermal growth factor
5 µg/ml	Insulin
0.5 µg/ml	Hydrocortisone
30 µg/ml	Gentamicin
15 ng/ml	Amphotericin B
0.10 mM	Calcium

30 µg/ml      Bovine pituitary extract

*Complete media should be kept at 4°C and stored for no longer than two weeks.*

**NOTE:**

KBM® SingleQuots® contain the following stock concentrations and volumes:

0.1 ng/ml	hEGF	0.5 ml
5.0 mg/ml	Insulin	0.5 ml
0.5 mg/ml	Hydrocortisone	0.5 ml
30 mg/ml	Gentamicin, 15 µg/ml Amphotericin-B	0.5 ml
7.5 mg/ml	Bovine Pituitary Extract (BPE)	2.0 ml

Clonetics Calcium SingleQuots® are 2 ml of 300mM concentration of calcium.

165 µl of solution per 500 ml calcium-free medium equals 0.10 mM calcium in the medium.

## 2. Neutral Red (NR) Stock Solution

The liquid tissue culture-grade stock NR Solution will be the first choice for performing the assay. If the liquid form is not available, the following formulation can be prepared.

0.4 g NR Dye powder in 100 ml of H<sub>2</sub>O

Make up prior to use and store dark at room temperature. May store for up to two months.

## 3. Neutral Red (NR) Medium

**EXAMPLE:**

1 ml (4mg NR dye/ml)	NR Stock Solution
79 ml	KGM

The final concentration of the NR Medium is 50 µg NR dye/ml.

[Note: The NR medium should be incubated overnight at 37°C ± 1°C and centrifuged at approximately 600 x g for 10 min (to remove NR crystals) before adding to the cells. Alternative procedures (e.g., Millipore filtering) can be used as long as they guarantee that NR medium is free of crystals.]

## 4. Ethanol/Acetic Acid Solution (NR Desorb)

1 %	Glacial acetic acid solution
50 %	Ethanol
49 %	H <sub>2</sub> O

## C. Methods

### 1. Cell Maintenance and Culture Procedures

NHK cells are routinely grown as a monolayer in tissue culture grade flasks (e.g., 25 cm<sup>2</sup>) at 37°C ± 1°C, 90 % ± 5 % humidity, and 5.0 % ± 1 % CO<sub>2</sub>/air. The cells should be examined on a daily basis under a phase contrast microscope, and any changes in morphology or their adhesive properties must be noted in a Study Workbook (See **Section VII.F.3**)

**2. Receipt of Cryopreserved Keratinocytes**

Upon receipt of cryopreserved keratinocytes, the vial(s) of cells shall be stored in a liquid nitrogen freezer until needed.

**3. Thawing Cells and Establishing Cell Cultures**

- a) Thaw cells by putting ampules into a water bath at 37°C for as brief a time as possible. Do not thaw cells at room temperature or by hand. Seed the thawed cells into culture flasks as quickly as possible and with minimal handling.
- b) Slowly (taking approximately 1-2 min) add 9 ml of Routine Culture Medium to the cells suspended in the cryoprotective solution and transfer cells into flasks containing pre-warmed Routine Culture Medium (See Table 1).
- c) Incubate the cultures at 37°C ± 1°C, 90 % ± 5 % humidity, 5.0 % ± 1 % CO<sub>2</sub>/air until the cells attach to the flask, at which time the Routine Culture Medium should be removed and replaced with fresh Routine Culture Medium.
- d) Unless otherwise specified, the cells should be incubated at 37°C ± 1°C, 90 % ± 5 % humidity, 5.0 % ± 1 % CO<sub>2</sub>/air and fed every 2-3 days until they exceed 50 % confluence (but less than 80 % confluent).

**Table 1. Establishing Cell Cultures**

Cells/25 cm <sup>2</sup> flask (in approximately 5 ml) 1 flask each cell concentration	6.25 x 10 <sup>4</sup> (2500 cm <sup>2</sup> )	1.25 x 10 <sup>5</sup> (5000 cm <sup>2</sup> )	2.25 x 10 <sup>5</sup> (9000 cm <sup>2</sup> )
Approximate Time to Subculture	96+ hours	72 - 96 hours	48 - 72 hours
Cells to 96-Well Plates	6 – 8 plates	6 – 8 plates	6 – 8 plates

Cell growth guidelines – actual growth of individual cell lots may vary.

**4. Subculture of NHK Cells to 96-Well Plates**

[Note: It is important that cells have overcome the lag growth phase when they are used for the test. Keratinocytes will be passaged only into the 96-well plates and will not be subcultured into flasks for use in later assays]

- (a) When the keratinocyte culture in a 25 cm<sup>2</sup> flask exceeds 50 % confluence (but less than 80 % confluent), remove the medium and rinse the culture twice with 5 ml HEPES-BSS. The second rinse should be left on the cells for approximately 5 minutes. Discard the washing solution.
- (b) Add 2 ml trypsin/EDTA solution to each flask and remove after 15 to 30 seconds. Incubate the flask at room temperature for 3 to 7 min. When more than 50 % of the cells become dislodged, rap the flask sharply against the palm of the hand.
- (c) When most of the cells have become detached from the surface, rinse the flask with 5 ml of room temperature TNS.

- (d) Then rinse the flask with 5 ml CMF-HBSS and transfer the cell suspension to a centrifuge tube.
- (e) Pellet the cells by centrifugation for 5 min at approximately 220 x g. Remove the supernatant by aspiration.
- (f) Resuspend the keratinocyte pellet by gentle trituration (to have single cells) in Routine Culture Medium. It is important to obtain a single cell suspension for exact counting. Count a sample of the cell suspension using a hemocytometer or cell counter.
- (g) Prepare a cell suspension of  $0.8 - 1 \times 10^4$  cells/ml in Routine Culture Medium. Using a multi-channel pipette, dispense 250  $\mu$ l PBS only into the peripheral wells (blanks) of a 96-well tissue culture microtiter plate. In the remaining wells, dispense 250  $\mu$ l of the cell suspension ( $2 \times 10^3 - 2.5 \times 10^3$  cells/well). Prepare one plate per chemical to be tested.
- (h) Incubate cells ( $37^\circ\text{C} \pm 1^\circ\text{C}$ , 90 %  $\pm$  5.0 % humidity, and 5 %  $\pm$  1 %  $\text{CO}_2$ /air) so that cells form a 30+ % monolayer (~48-72 h). This incubation period assures cell recovery and adherence and progression to exponential growth phase.
- (i) Examine each plate under a phase contrast microscope to assure that cell growth is relatively even across the microtiter plate. This check is performed to identify experimental and systemic cell seeding errors. Record observations in the Study Workbook.

## 5. Determination of Doubling Time

- a) Establish cells in culture and trypsinize cells as per **Section C.4** for subculture. Resuspend cells in appropriate culture medium. Use Table 1 to determine seeding densities.
- b) Seed five sets of cell culture vessels in triplicate for each cell type (e.g., 15 tissue culture dishes [60mm x 15mm]). Use appropriate volume of culture medium for the culture vessels. Note number of cells placed into each culture dish. Place dishes into the incubators ( $37^\circ\text{C} \pm 1^\circ\text{C}$ , 90 %  $\pm$  5 % humidity, 5.0 %  $\pm$  1 %  $\text{CO}_2$ /air).
- c) After 4-6 hours (use the same initial measurement time for each subsequent doubling time experiment), remove three culture dishes and trypsinize cells. Count cells using a cell counter or hemocytometer. Cell viability may be determined by dye exclusion (e.g., Trypan Blue). Determine the total number of cells and document. Repeat sampling at 24 hr, 48 hr, 72 hr, and 96 hr post inoculation. Change culture medium at 72 hr or sooner in remaining dishes if indicated by pH drop.
- d) Plot cell concentration (per ml of medium) on a log scale against time on a linear scale. Determine lag time and population doubling time. The doubling time will be in the log (exponential) phase of the growth curve. Additional dishes and time are needed if the entire growth curve is to be determined (lag phase, log phase, plateau phase).

## D. Establishing the Positive Control Database

An historical database of  $\text{IC}_{50}$  values for the positive control chemical (Sodium Lauryl [dodecyl] Sulfate {SLS}) must be established and maintained by performing 10 concentration-response assays on the NHK cells.

### 1. Positive Control Chemical Preparation

The positive control chemical (SLS) is prepared in the same manner as the test chemical (Sections E.1 and E.2) by following the instructions and substituting “test chemical” with “SLS.”

**2. Range Finder Experiment**

Before initiating the 10 concentration-response assays, a range finder experiment will be performed using eight concentrations of SLS by diluting the stock solution with a constant factor as per Section E.3.a and E.3.b. The eight chemical concentrations will be tested as per the test procedure outlined in Section F and analyzed as per procedures outlined in Section G.

**3. Test Procedure**

Once a range has been determined that satisfies the criteria in Section E.3.b, the definitive concentration-response assays shall use a  $\sqrt[6]{10} = 1.47$  dilution scheme centered on the IC<sub>50</sub>. The Test Facility will perform two tests per day on five different days. The 95 % CI of the IC<sub>50</sub> of SLS will be established and defined as an acceptance criterion for test sensitivity for the NHK NRU assay. The confidence intervals shall be calculated using the average of the individual IC<sub>50</sub> values from each positive control assay performed. An example of an historical mean IC<sub>50</sub> of SLS in NHK cultures is **4.4 µg/ml ± 0.97 µg/ml** [two standard deviations] (Triglia, 1989). All testing will follow the instructions in Section F using the 96-well plate configuration in Figure 1. The test meets acceptance criteria if the conditions in Sections F.5.a.2 and F.5.a.3 are met.

**Figure 1. 96-Well Plate Configuration for Positive Control and Test Chemical Assays**

	1	2	3	4	5	6	7	8	9	10	11	12
A	b	b	b	b	b	b	b	b	b	b	b	b
B	b	VC	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>	C <sub>5</sub>	C <sub>6</sub>	C <sub>7</sub>	C <sub>8</sub>	VC	b
C	b	VC	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>	C <sub>5</sub>	C <sub>6</sub>	C <sub>7</sub>	C <sub>8</sub>	VC	b
D	b	VC	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>	C <sub>5</sub>	C <sub>6</sub>	C <sub>7</sub>	C <sub>8</sub>	VC	b
E	b	VC	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>	C <sub>5</sub>	C <sub>6</sub>	C <sub>7</sub>	C <sub>8</sub>	VC	b
F	b	VC	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>	C <sub>5</sub>	C <sub>6</sub>	C <sub>7</sub>	C <sub>8</sub>	VC	b
G	b	VC	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>	C <sub>5</sub>	C <sub>6</sub>	C <sub>7</sub>	C <sub>8</sub>	VC	b
H	b	b	b	b	b	b	b	b	b	b	b	b

VC = untreated VEHICLE CONTROL (mean viability set to 100 %)  
 C<sub>1</sub> – C<sub>8</sub> = Test Chemicals or Positive Control (SLS) at eight concentrations (C<sub>1</sub> = highest, C<sub>8</sub> = lowest)  
 b = BLANKS (contain **no** cells)

## E. Preparation of Test Chemicals

[Note: Test chemical must be freshly prepared immediately prior to use. Each stock dilution should have at least 1-2 ml total volume to ensure adequate solution for the test wells in a single 96-well plate. The solutions must not be cloudy nor have noticeable precipitate. Test chemicals must be at room temperature before dissolving and diluting. Preparation under red or yellow light may be necessary, if rapid photodegradation is likely to occur.]

### 1. Dissolving Test Chemical

- a) Approximately 200,000  $\mu\text{g}$  (200 mg) of the test chemical will be weighed into a glass tube and the weight will be documented. Assay-specific culture medium will be added to the vessel so that the concentration is 2,000,000  $\mu\text{g}/\text{ml}$  (2000 mg/ml) and mixed using the mixing procedures outlined in **Section E.1.c**. If complete solubility is achieved, then additional solubility procedures are not needed. The test chemical can then be prepared and diluted for use in an assay. If only partial solubility is achieved, then add additional medium in the steps outlined in Table 1 until the concentration is a minimum of 200,000  $\mu\text{g}/\text{ml}$ . If complete solubility at 200,000  $\mu\text{g}/\text{ml}$  in culture medium can't be attained, then repeat the solubility steps in Table 1 and **Section E.1.c** using the other solvent(s) in the solubility hierarchy. Test chemicals that are only soluble in DMSO or ethanol will be prepared at 2,000,000  $\mu\text{g}/\text{ml}$  as the highest concentration of stock solution.

**Table 2 Determination of Solubility**

Solubility Data	Step 1	Step 2	Step 3
Total volume of medium added (ml)	0.1	0.5	1.0
Total volume of DMSO or ethanol added (ml)	0.1	0.5	1.0
Approximate solubility ( $\mu\text{g}/\text{ml}$ )	$\geq 2,000,000$	400,000	200,000

Example: If complete solubility is not achieved in 0.1 ml medium (Step 1), then 0.4 ml is added to obtain a total volume of 0.5 ml (Step 2). No additional weighing of chemical is needed. Chemical and medium are again mixed in an attempt to dissolve.

- b) Each test chemical will be prepared such that the highest test concentration applied to the cells in each range finding experiment is 100,000  $\mu\text{g}/\text{ml}$  in culture medium (10,000  $\mu\text{g}/\text{ml}$  if DMSO or ethanol is used). If 100,000  $\mu\text{g}/\text{ml}$  in culture medium cannot be achieved, then the highest concentration attainable will be used. If the range finding experiment shows that 10,000  $\mu\text{g}/\text{ml}$  is not high enough for the range of chemicals dissolved in DMSO or ethanol to meet the acceptance criteria, then higher concentrations will be used for the definitive experiment.
- c) The following mixing and solvent hierarchy will be followed in dissolving the test chemical:
- 1) Dissolve test chemical in Treatment Medium.
  - 2) Gently mix. Vortex the tube (1 –2 minutes).
  - 3) If test chemical hasn't dissolved, use sonication for up to 5 minutes.
  - 4) If sonication doesn't work, then warm solution to 37°C.

If the test chemical doesn't dissolve (i.e., solution is cloudy or has precipitate) in the Treatment Medium, then follow the steps 1) through 4) using DMSO instead of Treatment Medium.

If the test chemical doesn't dissolve in DMSO, then follow steps 1) through 4) using ethanol instead of DMSO.

- d) For the range finding experiments, the highest 2x concentration of test chemical dissolved only in culture medium will be 200,000  $\mu\text{g/ml}$  (200 mg/ml). The highest 2x concentration of test chemical first dissolved in DMSO or ethanol then transferred to culture medium will be 20,000  $\mu\text{g/ml}$  (20 mg/ml). Dissolve test chemical in appropriate medium/solvent (at 200-fold the desired final test concentration in the case of DMSO or ethanol solvents, i.e., 20,000  $\mu\text{g/ml}$ ). The final solvent (DMSO or ethanol) concentration for application to the cells should be kept at a constant level of 0.5 % (v/v) in the vehicle controls and in all of the eight test concentrations. The following example illustrates the preparation of test chemical in solvent and the dilution of dissolved test chemical in medium before application to NHK cells.
- Example: Preparation of Test Chemical in Solvent Using a Log Dilution Scheme
- 1) Label eight tubes 1 – 8. Add 0.9 ml solvent (e.g., DMSO or ethanol) to tubes 2 -- 8.
  - 2) Prepare stock solution of 2,000,000  $\mu\text{g}$  test chemical/ml solvent in tube # 1.
  - 3) Add 0.1 ml of 2,000,000 $\mu\text{g/ml}$  dilution from tube #1 to tube #2 to make a 1:10 dilution in solvent (i.e., 200,000  $\mu\text{g/ml}$ ).
  - 4) Add 0.1 ml of 200,000  $\mu\text{g/ml}$  dilution from tube #2 to tube #3 to make another 1:10 dilution (i.e., 1:100 dilution from stock solution) in solvent (i.e., 20,000  $\mu\text{g/ml}$ )
  - 5) Continuing making serial 1:10 dilutions in the prepared solvent tubes.
  - 6) Since each concentration is 200 fold greater than the concentration to be tested, dilute 1 part dissolved chemical in each tube with 99 parts of culture medium (e.g., 0.1 ml of test chemical in DMSO + 9.9 ml culture medium) to derive the 8 2x concentrations for application to NHK cells. Each test chemical concentration will then contain 1 % v/v solvent. The NHK cells will have 0.125 ml of culture medium in the wells prior to application of the test chemical. By adding 0.125 ml of the appropriate 2x test chemical concentration to the appropriate wells, the test chemical will be diluted appropriately (e.g., highest concentration in well will be 10,000  $\mu\text{g/ml}$ ) in a total of 0.250 ml and the solvent concentration in the wells will be 0.5% v/v.

Check carefully to determine whether the chemical is still dissolved after the transfer from solvent stock solution to medium, and reduce the highest test concentration, if necessary. Document all test chemical preparations in the Study Workbook.

## 2. pH of Test Chemical Solutions

Measure the pH of the highest concentration of the test chemical in culture medium using pH paper. Document the pH and note the color of the medium for all dilutions. Do not adjust the pH.

### 3. Concentrations of Test Chemical

#### a) Range Finder Experiment

Test eight concentrations of the test chemical/PC by diluting the stock solution with a constant factor covering a large range. The initial dilution series shall be log dilutions (e.g., 1:10, 1:100, 1:1000, etc.).

#### b) Main Experiment

Depending on the slope of the concentration-response curve estimated from the range finder, the dilution/progression factor in the concentration series of the main experiment should be smaller (e.g.,  $\sqrt[6]{10} = 1.47$ ; NOTE: this dilution factor will be used for the definitive positive control assays [**Section VII.D.3**]). Cover the relevant concentration range ( $\geq 10\%$  and  $\leq 90\%$  effect) with at least three points of a graded effect, avoiding too many non-cytotoxic and/or 100%-cytotoxic concentrations. Experiments revealing less than three cytotoxic concentrations in the relevant range shall be repeated, where possible, with a smaller dilution factor. (Taking into account pipetting errors, a progression factor of 1.21 is regarded the smallest factor achievable.)

#### c) Test Chemical Dilutions

- A factor of  $\sqrt[2]{10} = 3.16$  could be used for covering a large range: (e.g.,  $1 \Rightarrow 3.16 \Rightarrow 10 \Rightarrow 31.6 \Rightarrow 100 \Rightarrow 316 \Rightarrow 1000 \Rightarrow 3160 \mu\text{g/ml}$ ).
- The simplest geometric concentration series (i.e., constant dilution / progression factor) are dual geometric series (e.g., a factor of 2). These series have the disadvantage of numerical values that permanently change between logs of the series: (e.g.,  $\log 0-2, 4, 8$ ;  $\log 1- 16, 32, 64$ ;  $\log 2- 128, 256, 512$ ;  $\log 3- 1024, 2048,$ ).
- The decimal geometric series, first described by Hackenberg and Bartling (1959) for use in toxicological and pharmacological studies, has the advantage that independent experiments with wide or narrow dose factors can be easily compared because they share identical concentrations. Furthermore, under certain circumstances, experiments can even be merged together:

EXAMPLE:

10						31.6					100	
10				21.5				46.4			100	
10		14.7		21.5		31.6		46.4		68.1	100	
10	12.1	14.7	17.8	21.5	26.1	31.6	38.3	46.4	56.2	68.1	82.5	100

The dosing factor of 3.16 ( $= \sqrt[2]{10}$ ) divides a log into two equidistant steps, a factor of 2.15 ( $= \sqrt[3]{10}$ ) divides a decade into three steps. The factor of 1.47 ( $= \sqrt[6]{10}$ ) divides a log into six equidistant steps, and the factor of 1.21 ( $= \sqrt[12]{10}$ ) divides the log into 12 steps.

For an easier biometrical evaluation of several related concentration response experiments use decimal geometric concentration series rather than dual geometric series. The technical production of decimal geometric concentration series is simple. An example is given for factor 1.47:

*Dilute 1 volume of the highest concentration by adding 0.47 volumes of diluent. After equilibration, dilute 1 volume of this solution by adding 0.47 volumes of diluent...(etc.).*

- Determine which test chemical concentration is closest to the IC50 value (e.g., 50 % cytotoxicity). Use that value as a central concentration and adjust dilutions higher and lower in equal steps for the definitive assay.

## F. Test Procedure

1. The NHK NRU assay for test chemicals will use the 96-well plate configuration shown in Figure 1.
2. Application of Test Chemical
  - a) Two optional methods for rapidly applying the 2X dosing solutions onto the 96-well plates may be utilized. The first method is to add each of the 2X dosing solutions into labeled, sterile reservoirs (e.g., Corning/Costar model 4870 sterile polystyrene 50 mL reagent reservoirs and/or Corning/Transtar model 4878 disposable reservoir liners, 8-channel). The second method utilizes a “dummy” plate (i.e., an empty sterile 96-well plate) prepared to hold the dosing solutions immediately prior to treatment of the test plate (with cells). The test chemical and control dosing solutions should be dispensed into the dummy plate in the same pattern/order as will be applied to the plate containing cells. More volume than needed for the test plate (i.e. greater than 125 µl/well) should be in the wells of the dummy plate. At the time of treatment initiation, a multi-channel micropipettor is used to transfer the 2X dosing solutions, from the reservoirs or dummy plate, to the appropriate wells on the treatment plate (as described in step c. below). These methods will ensure that the dosing solutions can be transferred rapidly to the appropriate wells of the test plate to initiate treatment times and to minimize the range of treatment initiation times across a large number of treatment plates, and to prevent “out of order” dosing. A third option, though not a recommended option, is to transfer test chemical solutions well by well using a single channel pipettor or repeat pipettor. This option will increase the amount of time needed for test chemical application. The use of a repeat pipettor increases the risk of dislodging cells from the culture plate.
  - b) After 24 - 72 h incubation of the cells, remove Routine Culture Medium from the cells by careful inversion of the plate (i.e., “dump”) over an appropriate receptacle. Gently blot the plate on a sterile paper towel so that the monolayer is minimally disrupted. Do not use automatic plate washers for this procedure nor vacuum aspiration.
  - c) Immediately add 125 µl of fresh Routine Culture Medium to each well. Add 125 µl of the appropriate concentration of test chemical, the PC, or the VC (see Figure 1 for the plate configuration).
  - d) Incubate cells for 48 h ± 0.5 h (37°C ± 1°C, 90 % ± 5 % humidity, and 5.0 % ± 1 % CO<sub>2</sub>/air).

- e) **Positive Control:** For each set of test chemical plates used in an assay, a separate plate of positive control concentrations will be set up following the concentration range established in developing the positive control database. This plate will follow the same schedule and procedures as used for the test chemical plates.

### 3. Microscopic Evaluation

After at least 46 h treatment, examine each plate under a phase contrast microscope to identify systematic cell seeding errors and growth characteristics of control and treated cells. Record any changes in morphology of the cells due to the cytotoxic effects of the test chemical, but do not use these records for any quantitative measure of cytotoxicity. Undesirable growth characteristics of control cells may indicate experimental error and may be cause for rejection of the assay. Use the following Visual Observations Codes in the description of cell culture conditions.

#### Visual Observations Codes

Note Code	Note Text
1	Normal Cell Morphology
2	Low Level of Cell Toxicity
3	Moderate Level of Cell Toxicity
4	High level of Cell Toxicity
1P	Normal Cell Morphology with Precipitate
2P	Low Level of Cell Toxicity with Precipitate
3P	Moderate Level of Cell Toxicity with Precipitate
4P	High level of Cell Toxicity with Precipitate
5P	Unable to View Cells Due to Precipitate

### 4. Measurement of NRU

- Carefully remove (i.e., “dump”) the Routine Culture Medium (with test chemical) and rinse the cells very carefully with 250 µl pre-warmed D-PBS. Remove the rinsing solution by gentle tapping and blot the plate. Add 250 µl NR medium (to all wells including the blanks) and incubate (37°C ± 1°C, 90 % ± 5 % humidity, and 5.0 % ± 1 % CO<sub>2</sub>/air) for 3 h.
- After incubation, remove the NR medium, and carefully rinse cells with 250 µl D-PBS.
- Decant and blot D-PBS from the plate. (Optionally: centrifuge the reversed plate.)
- Add exactly 100 µl NR Desorb (ETOH/acetic acid) solution to all wells, including blanks.
- Shake microtiter plate rapidly on a microtiter plate shaker for 20 – 45 min to extract NR from the cells and form a homogeneous solution.
- Measure the absorption (within 60 minutes of adding NR Desorb solution) of the resulting colored solution at 540 nm ± 10 nm in a microtiter plate reader (spectrophotometer), using the blanks as a reference. Save raw data in the Excel format as provided by the Study Management Team.

### 5. Quality Check of Assay

- Test Acceptance Criteria*

- 1) A test meets acceptance criteria, if the  $IC_{50}$  for SLS is within the 95 % CI of the historical mean established by the Test Facility (as per **Section D**).
- 2) A test meets acceptance criteria if the mean  $OD_{540}$  of VCs is  $\geq 0.3$  and  $\leq 1.1$ .
- 3) A test meets acceptance criteria if the left and the right mean of the VCs do not differ by more than 15 % from the mean of all VCs.

b) *Checks for Systematic Cell Seeding Errors*

The absolute value of optical density ( $OD_{540}$  of NRU) obtained in the untreated vehicle control may indicate whether the  $2 \times 10^3 - 2.5 \times 10^3$  cells seeded per well have grown exponentially with normal doubling time during the assay. Historical optical densities observed during doubling time experiments can be used for comparison to determine exponential growth.

To check for systematic cell seeding errors, untreated VCs are placed both at the left side (row 2) and the right side (row 11 for the test plates) of the 96-well plate. Aberrations in the cell monolayer for the VCs may reflect a volatile and toxic test article present in the assay.

Checks for cell seeding errors may also be performed by examining each plate under a phase contrast microscope to assure that cell quantity is consistent.

c) *Quality Check of Concentration-Response*

The  $IC_{50}$  derived from the concentration-response of the test chemicals should be backed by at least three responses between 10 and 90 % inhibition of NRU. If this is not the case, and the concentration progression factor can be easily reduced, reject the experiment and repeat it with a smaller progression factor. Numerical scoring of the cells (see **Section F.3**) should be determined and documented in the Study Workbook.

## G. Data Analysis

A calculation of cell viability expressed as NRU is made for each concentration of the test chemical by using the mean NRU of the six replicate values (minimum of four acceptable replicates wells) per test concentration. This value is compared with the mean NRU of all VC values (provided VC values have met the VC acceptance criteria). Relative cell viability is then expressed as percent of untreated VC. If achievable, the eight concentrations of each chemical tested will span the range of no effect up to total inhibition of cell viability. Data from the microtiter plate reader shall be transferred to the Excel® spreadsheet provided by the Study Management Team for determining cell viability and performing statistical analyses.

The concentration of a test chemical reflecting a 20 %, 50 %, and 80 % inhibition of cell viability (i.e., the  $IC_{20}$ ,  $IC_{50}$ , and  $IC_{80}$ ) is determined from the concentration-response by applying a Hill function to the concentration-response data. It will not be necessary for the Testing Facilities to derive the equation since statistical software (e.g., GraphPad PRISM® 3.0) specified by the Study Management Team shall be used to calculate  $IC_{20}$ ,  $IC_{50}$ , and  $IC_{80}$  values (and the associated confidence limits) for each test chemical. In addition, the Study Management Team shall provide guidelines for calculating  $IC_x$  values and confidence limits. The Testing Facility shall report data using at least three (3) significant figures and shall

forward the results from each assay to the Study Management Team/biostatistician through the designated contacts in electronic format and hard copy upon completion of testing. The Study Management Team will be directly responsible for the statistical analyses of the Validation Study data.

**VIII. REFERENCES**

Clonetics Normal Human Keratinocyte Systems Instructions for Use, AA-1000-4-Rev.03/00. (<http://www.clonetics.com>).

Hackenberg, U. and H. Bartling. 1959. Messen und Rechnen im pharmakologischen Laboratorium mit einem speziellen Zahlensystem (WL24-System). Arch. Exp. Pathol. Pharmacol. 235: 437-463.

Triglia, D., P.T. Wegener, J. Harbell, K. Wallace, D. Matheson, and C. Shopsis. 1989. Interlaboratory validation study of the keratinocyte neutral red bioassay from Clonetics Corporation. In *Alternative Methods in Toxicology*, Volume 7. A.M. Goldberg, ed., pp. 357-365. Mary Ann Liebert, Inc., New York.

**IX. APPROVAL**

\_\_\_\_\_  
**SPONSOR REPRESENTATIVE**

\_\_\_\_\_  
**DATE**

**(Print or type name)**

\_\_\_\_\_  
**Testing Facility STUDY DIRECTOR**  
**(Print or type name)**

\_\_\_\_\_  
**DATE**